# Adherence and Persistence of Oral Anticoagulants for Treatment of Atrial Fibrillation Across Stroke and Bleeding Risk Strata

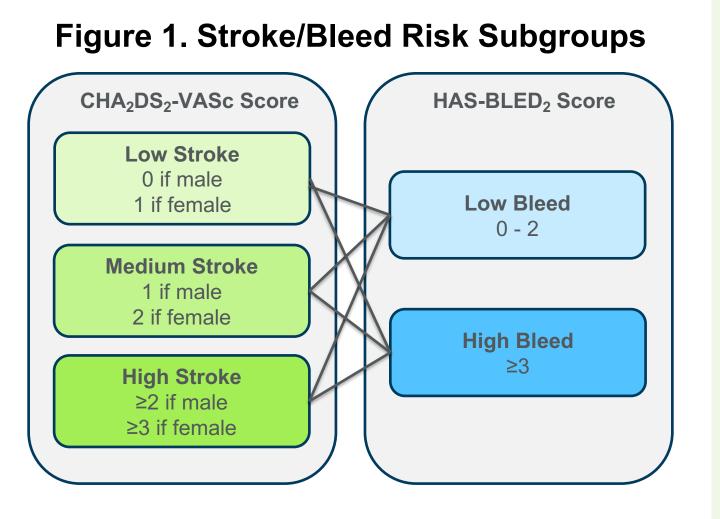
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# Introduction

- Adherence and persistence rates differ among oral anticoagulants (OACs) in atrial fibrillation (AF) patients, and suboptimal adherence is associated with poor clinical outcomes.<sup>1-3</sup>
- Little evidence exists regarding treatment patterns, discontinuation, adherence, and persistence of OACs approved for stroke prevention among AF patients with different stroke and bleeding risks.
- Clinical outcomes vary in these risk groups; thus it is important to understand the differing treatment patterns and outcomes.



# **Objective**

 This study aimed to evaluate variations in adherence and persistence to OACs among AF patients across stroke risk (CHA2DS2-VASc) and bleeding risk (HAS-BLED2) strata.

# Methods

- Descriptive, retrospective cohort analysis
- National claims database: Optum
- Identified patients initiating an OAC between October 2016 April 2021 (index date), with a
  diagnosis of AF during the baseline period (12 months prior to index), to assess adherence and
  persistence in the first year of treatment, based on index OAC. Follow-up period was defined as
  12 months post index date.
- Inclusion criteria:
  - Age ≥45 years on index date
- Continuous enrollment, ≥12 months pre-index and ≥12 months post-index
- Exclusion criteria:
  - Transient AF (pericarditis, hyperthyroidism, thyrotoxicity) during baseline period
  - Cardiac surgery during baseline period
  - Deep vein thrombosis, pulmonary embolism, or hip/knee replacement procedure within 6 months pre-index

## **Table 1. Study Definitions**

Term	Definition
Discontinuation	<ul> <li>Discontinuation of index OAC (without re-initiation/switching) occurs when there is no re-initiation of index OACs or switch to another OAC from the last date of the last drug supply to the end of post-index follow-up period.</li> </ul>
Re-initiation	<ul> <li>Therapy re-initiation occurs when there is re-initiation of index OAC more than 30 days after the last date of the last drug supply during the follow-up period.</li> </ul>
Switching	Therapy switching occurs when there is a switch from index OAC to another OAC.
Persistence	<ul> <li>Patients will be deemed persistent to OAC therapy until a prescription gap &gt; 30 days on index OAC or an alternative OAC (switch) is reported.</li> <li>Persistent days is the time period between index date and date of a prescription gap &gt; 30 days on index OAC or an alternative OAC (switch) is reported.</li> </ul>
Adherence	<ul> <li>Adherence is measured by Proportion of Days Covered (PDC) from the initiation of therapy to index OACs discontinuation, therapy re-initiation/switching or end of follow-up.</li> <li>PDC is calculated as the number of days of medication supplied by the index OACs prescriptions divided by the length of therapy until discontinuation, re-initiation/switching or end of follow-up occurs.</li> </ul>

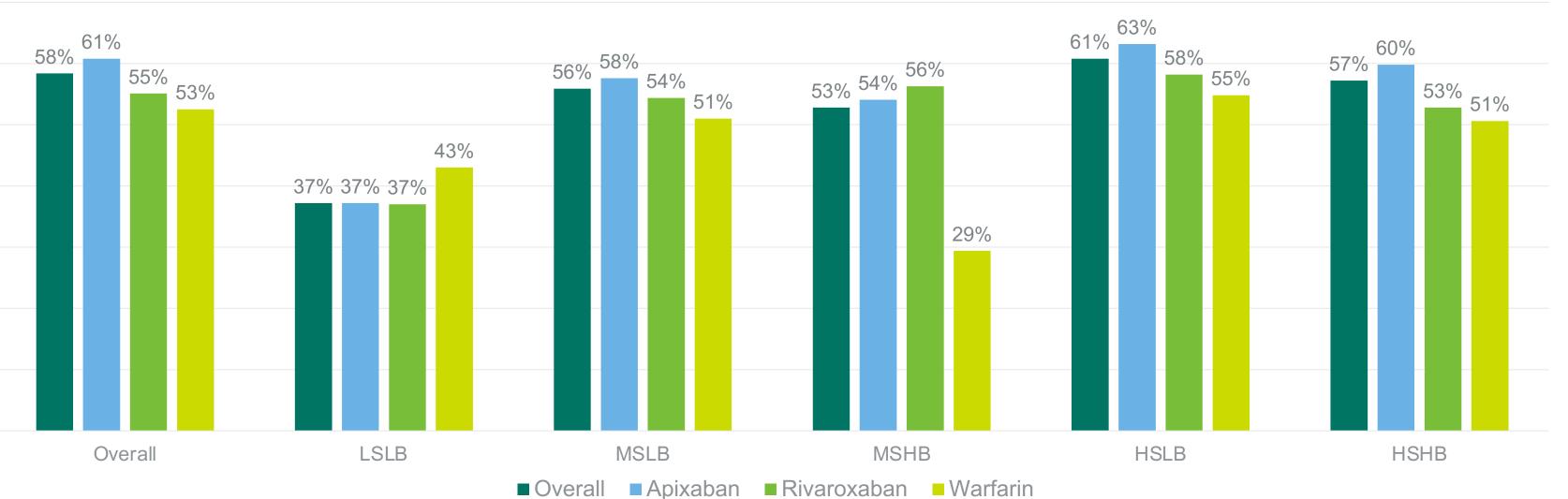
### Conclusions

- Adherence and persistence rates vary across stroke risk by drug, but generally decrease with increased bleeding risk within stroke risk groups.
- Newer therapies with lower bleeding risk are needed

# Results

- Of 150,482 patients identified, the majority initiated with apixaban, rivaroxaban, and warfarin (66.9%, 20.4%, and 10.9% respectively, Table 2).
- Persistence:
  - Average overall persistence in the year after initiation was 228.3 days (SD 138.8).
  - Over 50% of patients were persistent at 6 months in all risk groups excepting low stroke and low bleed risk (37% for apixaban and rivaroxaban, 43% for warfarin) (Figure 2).
  - Persistence was highest for high stroke, low bleeding risk patients across all drugs (Figure 2).
- Adherence:
  - On average, 75.2% of the total population was adherent at least 80% of days covered with no significant difference between drugs (p=0.662) (Figure 3).
  - The highest percent of patients with PDC≥80% was 80% of patients using DOACs in low to medium stroke risk, regardless of bleed risk (Figure 3).
  - Adherence to apixaban and rivaroxaban decreased with increasing bleeding risk (Figure 3).
- **Discontinuation:** Overall, 21.3% of patients discontinued their index OAC (and did not re-initiate or switch)
- Switching: Most patients who switched from their index OAC switched to apixaban (Figure 4)

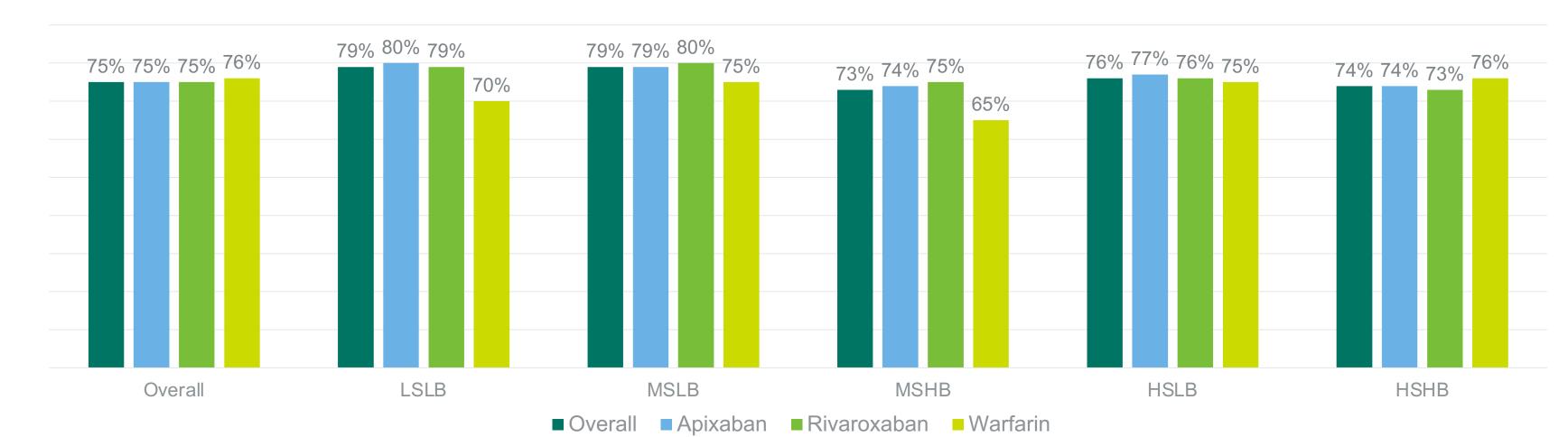
# Figure 2. Persistence at 6 Months, by Index OAC and Risk Group



**Abbreviations:** High-Stroke, High-Bleed (HSHB); High-Stroke, Low-Bleed (HSLB); Low-Stroke, Low-Bleed (LSLB); Medium-Stroke, High-Bleed (MSHB); Medium-Stroke, Low-Bleed (MSLB)

Note: The Low-Stroke, High-Bleed group was omitted from this table due to low sample size (n=25)

# Figure 3. Proportion of Patients with PDC ≥80%, by Index OAC and Risk Group



**Abbreviations:** Proportion of Days Covered (PDC); High-Stroke, High-Bleed (HSHB); High-Stroke, Low-Bleed (HSLB); Low-Stroke, Low-Bleed (LSLB); Medium-Stroke, High-Bleed (MSHB); Medium-Stroke, Low-Bleed (MSLB)

4. Salmasi S, Loewen PS, Tandun R, et al. Adherence to oral anticoagulants among patients with atrial fibrillation: a systematic review and meta-analysis of observational studies. BMJ Open 2020;10:e034778. doi:10.1136/ bmjopen-2019-034778

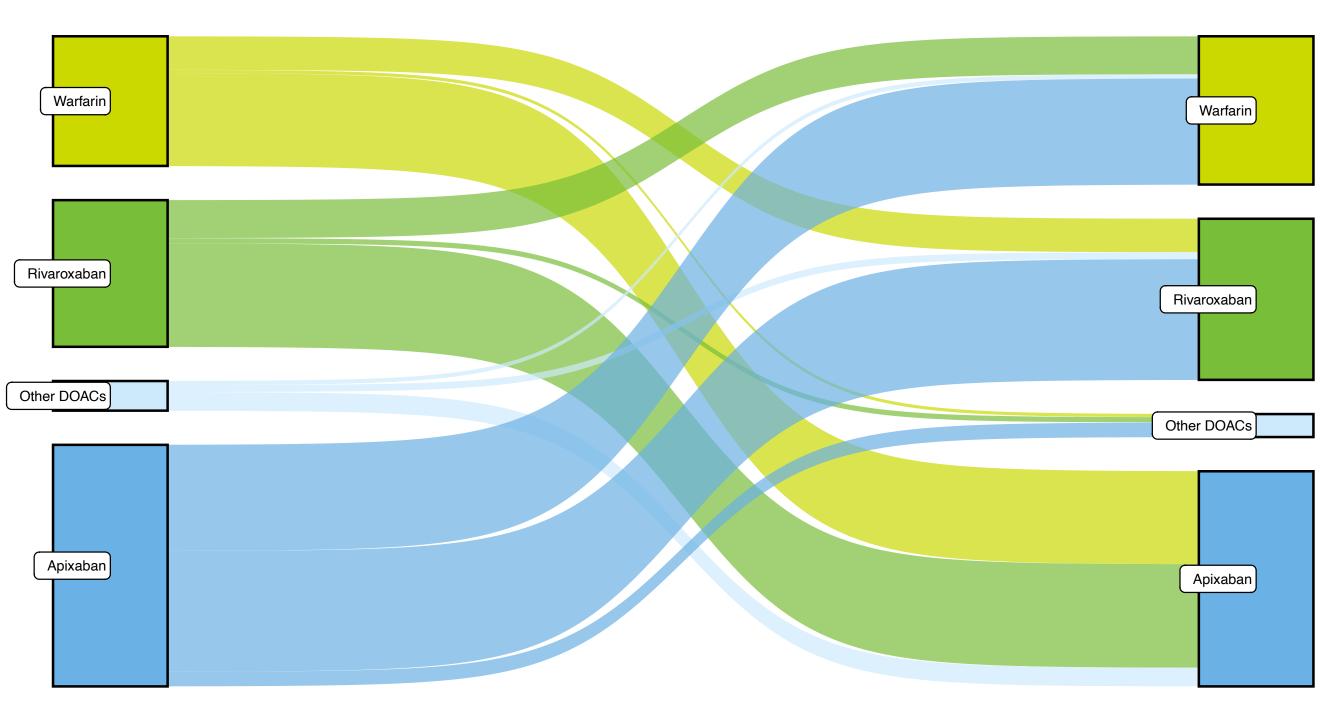
Note: The Low-Stroke, High-Bleed group was omitted from this table due to low sample size (n=25)

### Table 2. Baseline Characteristics

Patient Population, N (%)         150,482         100,695 (66.9%)         30,653 (20.4%)         16,450 (20.4%)           Age, Mean (SD)         75.3 (9)         75.6 (8.9)         73.7 (9.4)         76.4           Age Group, N, (%)         45-64 years         18,044 (12%)         11,213 (11.1%)         4,942 (16.1%)         1,400 (20.4%)           65-74 years         47,311 (31.4%)         31,077 (30.9%)         10,468 (34.2%)         4,868 (36.2%)           75-84 years         58,431 (38.8%)         39,292 (39%)         10,976 (35.8%)         7,216 (36.8%)           85+ years         26,696 (17.7%)         19,113 (19%)         4,267 (13.9%)         2,966           Male, N (%)         79,521 (52.8%)         51,483 (51.1%)         17,490 (57.1%)         8,977 (36.2%)           Race, N (%)         115,034 (76.4%)         76,758 (76.2%)         23,323 (76.1%)         12,861 (36.2%)           White         115,034 (76.4%)         76,758 (76.2%)         23,323 (76.1%)         12,861 (36.2%)           Black         13,276 (8.8%)         9,218 (9.2%)         2,561 (8.4%)         1,302 (36.2%)           Hispanic         13,017 (8.7%)         8,637 (8.6%)         2,822 (9.2%)         1,321           Asian         3,377 (2.2%)         2,214 (2.2%)         764 (2.5%)         338 (2.8%)	
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Age Group, N, (%)       18,044 (12%)       11,213 (11.1%)       4,942 (16.1%)       1,400 (10.1%)         65-74 years       47,311 (31.4%)       31,077 (30.9%)       10,468 (34.2%)       4,868 (3.2%)         75-84 years       58,431 (38.8%)       39,292 (39%)       10,976 (35.8%)       7,216 (3.2%)         85+ years       26,696 (17.7%)       19,113 (19%)       4,267 (13.9%)       2,966         Male, N (%)       79,521 (52.8%)       51,483 (51.1%)       17,490 (57.1%)       8,977 (3.2%)         Race, N (%)       115,034 (76.4%)       76,758 (76.2%)       23,323 (76.1%)       12,861 (3.2%)         White       115,034 (76.4%)       76,758 (76.2%)       23,323 (76.1%)       12,861 (3.2%)         Black       13,276 (8.8%)       9,218 (9.2%)       2,561 (8.4%)       1,302 (3.2%)         Hispanic       13,017 (8.7%)       8,637 (8.6%)       2,822 (9.2%)       1,321         Asian       3,377 (2.2%)       2,214 (2.2%)       764 (2.5%)       338 (2.8%)         Unknown       5,778 (3.8%)       3,868 (3.8%)       1,183 (3.9%)       628 (3.2%)         QCI Score, Mean (SD)       4.1 (2.9)       4.1 (2.9)       3.8 (2.8)       4.7	10.9%)
45-64 years       18,044 (12%)       11,213 (11.1%)       4,942 (16.1%)       1,400 (10.1%)         65-74 years       47,311 (31.4%)       31,077 (30.9%)       10,468 (34.2%)       4,868 (3.2%)         75-84 years       58,431 (38.8%)       39,292 (39%)       10,976 (35.8%)       7,216 (3.2%)         85+ years       26,696 (17.7%)       19,113 (19%)       4,267 (13.9%)       2,966         Male, N (%)       79,521 (52.8%)       51,483 (51.1%)       17,490 (57.1%)       8,977 (8.2%)         Race, N (%)       115,034 (76.4%)       76,758 (76.2%)       23,323 (76.1%)       12,861 (8.2%)         Black       13,276 (8.8%)       9,218 (9.2%)       2,561 (8.4%)       1,302 (9.2%)         Hispanic       13,017 (8.7%)       8,637 (8.6%)       2,822 (9.2%)       1,321         Asian       3,377 (2.2%)       2,214 (2.2%)       764 (2.5%)       338 (2.8)         Unknown       5,778 (3.8%)       3,868 (3.8%)       1,183 (3.9%)       628 (3.2%)         QCI Score, Mean (SD)       4.1 (2.9)       4.1 (2.9)       3.8 (2.8)       4.7         CHA2DS2-VASc Categorical Score, N (%)       4.1 (2.9)       4.1 (2.9)       3.8 (2.8)       4.7	(8.4)
65-74 years       47,311 (31.4%)       31,077 (30.9%)       10,468 (34.2%)       4,868 (37.2%)         75-84 years       58,431 (38.8%)       39,292 (39%)       10,976 (35.8%)       7,216 (37.2%)         85+ years       26,696 (17.7%)       19,113 (19%)       4,267 (13.9%)       2,966         Male, N (%)       79,521 (52.8%)       51,483 (51.1%)       17,490 (57.1%)       8,977 (37.2%)         Race, N (%)       8,977 (37.2%)       23,323 (76.1%)       12,861 (37.2%)       12,861 (37.2%)       23,323 (76.1%)       12,861 (37.2%)       12,861 (37.2%)       2,561 (8.4%)       1,302 (37.2%)       1,302 (37.2%)       2,822 (9.2%)       1,321 (37.2%)       1,321 (37.2%)       2,214 (2.2%)       764 (2.5%)       338 (2.2%)       338 (2.2%)       1,183 (3.9%)       628 (37.2%)       2,214 (2.2%)       764 (2.5%)       338 (2.2%)       4.7         CHA2DS2-VASc Categorical Score, N (%)       4.1 (2.9)       4.1 (2.9)       3.8 (2.8)       4.7	
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85+ years       26,696 (17.7%)       19,113 (19%)       4,267 (13.9%)       2,966         Male, N (%)       79,521 (52.8%)       51,483 (51.1%)       17,490 (57.1%)       8,977 (8         Race, N (%)       White       115,034 (76.4%)       76,758 (76.2%)       23,323 (76.1%)       12,861 (8         Black       13,276 (8.8%)       9,218 (9.2%)       2,561 (8.4%)       1,302 (8.2%)       1,302 (8.2%)       1,302 (8.2%)       1,321 (8.2%)       1,321 (8.2%)       1,321 (8.2%)       1,321 (8.2%)       3,377 (2.2%)       2,214 (2.2%)       764 (2.5%)       338 (2.8%)       3,868 (3.8%)       1,183 (3.9%)       628 (3.2%)       4.7 (2.9)       4.1 (2.9)       3.8 (2.8)       4.7 (2.2%)         CHA2DS2-VASc Categorical Score, N (%)	29.6%)
Male, N (%)       79,521 (52.8%)       51,483 (51.1%)       17,490 (57.1%)       8,977 (80.2%)         Race, N (%)       115,034 (76.4%)       76,758 (76.2%)       23,323 (76.1%)       12,861 (80.2%)       12,861 (80.2%)       2,561 (8.4%)       1,302 (80.2%)	13.9%)
Race, N (%)         115,034 (76.4%)         76,758 (76.2%)         23,323 (76.1%)         12,861 (8.8%)           Black         13,276 (8.8%)         9,218 (9.2%)         2,561 (8.4%)         1,302 (8.8%)	(18%)
White       115,034 (76.4%)       76,758 (76.2%)       23,323 (76.1%)       12,861 (8.8%)         Black       13,276 (8.8%)       9,218 (9.2%)       2,561 (8.4%)       1,302 (8.6%)         Hispanic       13,017 (8.7%)       8,637 (8.6%)       2,822 (9.2%)       1,321         Asian       3,377 (2.2%)       2,214 (2.2%)       764 (2.5%)       338 (2.8)         Unknown       5,778 (3.8%)       3,868 (3.8%)       1,183 (3.9%)       628 (3.8%)         QCI Score, Mean (SD)       4.1 (2.9)       4.1 (2.9)       3.8 (2.8)       4.7         CHA2DS2-VASc Categorical Score, N (%)	54.6%)
Black       13,276 (8.8%)       9,218 (9.2%)       2,561 (8.4%)       1,302 (9.2%)         Hispanic       13,017 (8.7%)       8,637 (8.6%)       2,822 (9.2%)       1,321         Asian       3,377 (2.2%)       2,214 (2.2%)       764 (2.5%)       338 (2.2%)         Unknown       5,778 (3.8%)       3,868 (3.8%)       1,183 (3.9%)       628 (3.2%)         QCI Score, Mean (SD)       4.1 (2.9)       4.1 (2.9)       3.8 (2.8)       4.7         CHA2DS2-VASc Categorical Score, N (%)       4.7       4.7       4.7	
Hispanic       13,017 (8.7%)       8,637 (8.6%)       2,822 (9.2%)       1,321         Asian       3,377 (2.2%)       2,214 (2.2%)       764 (2.5%)       338 (2         Unknown       5,778 (3.8%)       3,868 (3.8%)       1,183 (3.9%)       628 (3         QCI Score, Mean (SD)       4.1 (2.9)       4.1 (2.9)       3.8 (2.8)       4.7         CHA2DS2-VASc Categorical Score, N (%)       4.7       4.8<	78.2%)
Asian       3,377 (2.2%)       2,214 (2.2%)       764 (2.5%)       338 (2.2%)         Unknown       5,778 (3.8%)       3,868 (3.8%)       1,183 (3.9%)       628 (3.2%)         QCI Score, Mean (SD)       4.1 (2.9)       4.1 (2.9)       3.8 (2.8)       4.7         CHA2DS2-VASc Categorical Score, N (%)       4.1 (2.9)       4.1 (2.9)       4.1 (2.9)       4.1 (2.9)       4.7	7.9%)
Unknown         5,778 (3.8%)         3,868 (3.8%)         1,183 (3.9%)         628 (3.8%)           QCI Score, Mean (SD)         4.1 (2.9)         4.1 (2.9)         3.8 (2.8)         4.7           CHA2DS2-VASc Categorical Score, N (%)         4.1 (2.9)	(8%)
QCI Score, Mean (SD)         4.1 (2.9)         4.1 (2.9)         3.8 (2.8)         4.7           CHA2DS2-VASc Categorical Score, N (%)         4.1 (2.9)         4.1 (2.9)         4.7	2.1%)
CHA2DS2-VASc Categorical Score, N (%)	.8%)
	(3)
<b>0 for Male or 1 for Female</b> 2,691 (1.8%) 1,572 (1.6%) 900 (2.9%) 135 (0.6%)	
	.8%)
<b>1 for Male or 2 for Female</b> 9,795 (6.5%) 6,150 (6.1%) 2,721 (8.9%) 652 (	4%)
<b>≥ 2 for Male or ≥ 3 for Female</b> 137,992 (91.7%) 92,970 (92.3%) 27,031 (88.2%) 15,663 (	95.2%)
HAS-BLED2 Categorical Score, N (%)	
<b>0-2</b> 80,201 (53.3%) 51,892 (51.5%) 18,064 (58.9%) 8,616 (	52.4%)
≥ <b>3</b> 70,281 (46.7%) 48,803 (48.5%) 12,589 (41.1%) 7,834 (48.5%)	17.6%)

Abbreviations: Atrial Fibrillation (AF); Not Applicable (NA); Oral anticoagulants(OAC); Quan-Charlson Index (QCI); Standard Deviation (SD)

# Figure 4. Switching from Index OAC



Index OAC End OAC

**Abbreviations:** Oral anticoagulants(OAC); Direct-Acting Oral Anticoagulants (DOACs)

Note: The "Other DOACs" category represents therapies edoxaban and dabigatran

### Table 3. Switching from Index OAC

Therapy Switching From Index Drug	Index Warfarin N=2,495	Index Rivaroxaban N=2,822	Index Other DOACs N=571	Index Apixaban N=4,648
Switch to Warfarin, N (%)	N/A	730 (25.9%)	80 (14%)	2043 (44%)
Switch to Rivaroxaban, N (%)	645 (25.9%)	N/A	131 (22.9%)	2324 (50%)
Switch to Other DOACs, N (%)	61 (2.4%)	100 (3.5%)	N/A	280 (6%)
Switch to Apixaban, N (%)	1789 (71.7%)	1992 (70.6%)	359 (62.9%)	N/A

Abbreviations: Direct Oral Anticoagulant (DOAC); Not Applicable (NA)

Note: The "Other DOACs" category represents therapies edoxaban and dabigatran

# Disclosures

This study is sponsored by Bayer AG. The sponsor was involved in the study design and the writing of the report.

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